

1 FAM 050 OFFICE OF INSPECTOR GENERAL (OIG)

1 FAM 051 SCOPE AND AUTHORITY

1 FAM 051.1 Policy

(TL:ORG-62; 1-31-95)

a. By law, the Department of State has an organization which will:

(1) Provide policy direction for and conduct, supervise, and coordinate audits, investigations, and inspections relating to the programs and operations of the Department;

(2) Recommend policies for and conduct, supervise, or coordinate other activities carried out or financed by the Department for the purpose of promoting economy and efficiency or preventing and detecting fraud and abuse in the administration of the Department's programs and operations;

(3) Ascertain whether the administration of activities and operations of the Department and the Foreign Service meets the requirements of applicable laws and regulations;

(4) Ascertain whether Department policy goals and objectives are being effectively achieved and whether the interests of the United States are being accurately and effectively represented;

(5) Provide independent evaluations of the performance of the Department's managers; and

(6) Keep the Secretary and the Congress fully and currently informed about problems and deficiencies relating to the administration of such programs and operations as well as the necessity for and the progress of corrective actions.

b. By law, the Inspector General may not be assigned any general program operating responsibilities.

c. The Inspector General also serves as the Inspector General for the Arms Control and Disarmament Agency (ACDA).

1 FAM 051.2 Responsibilities

(TL:ORG-62; 1-31-95)

a. Provides supervision, direction, and guidance for the operations of the organizational units comprising the Office of the Inspector General.

b. Keeps the Secretary of State and the Congress fully and currently informed about problems and deficiencies relating to the programs and operations of the Department of State.

c. Conducts or directs, and issues reports on audits, inspections, and investigations of the programs, activities, and operations of the Department and of Foreign Service posts. Neither the Secretary nor any other officer of the Department shall prevent or prohibit the Inspector General from initiating, carrying out, or completing any audit, inspection, or investigation, or from issuing any subpoena during the course of these activities.

d. Reviews activities and operations performed under the direction, coordination, and supervision of chiefs of mission for the purpose of ascertaining their consonance with the foreign policy of the United States and their consistency with the responsibilities of the Secretary and the chief of mission.

e. Reviews programs and operations administered by the Department for adherence to law, regulation, economy, and efficiency and for prevention of fraud, waste, abuse, and mismanagement.

f. Reviews existing and proposed legislation and regulations relating to programs and operations of the Department and the Foreign Service. Makes recommendations to the Secretary and reports semiannually to the Congress concerning the effect of such legislation or regulations on the efficiency of Department activities or the prevention and detection of fraud, waste, abuse, or mismanagement.

g. Recommends policies for and conducts, supervises, or coordinates relationships between the Department and other federal, state, and local government agencies and nongovernmental entities relating to the promotion of economy and efficiency in government, the prevention and detection of fraud or abuse in U.S. government programs, and the identification and prosecution of those guilty of such offenses.

h. Serves as a member of the President's Council on Integrity and Efficiency.

i. Has substantive and coordinating responsibility for the following Department regulatory publications:

(1) Foreign Affairs Manual Volume 1 (Organization and Functions) subchapter 050—The Inspector General (OIG); and

(2) Foreign Affairs Manual Volume 2 (General) subchapter 030—Office of Inspector General Activities.

1 FAM 051.3 Organization

(TL:ORG-62; 1-31-95)

An organization chart for OIG is found as Exhibit 051.3.

1 FAM 051.4 Authorities

(TL:ORG-62; 1-31-95)

- a. Inspector General Act of 1978, 5 U.S.C. App. 3, as amended.
- b. Foreign Service Act of 1980 Section 209, 22 U.S.C. 3929, as amended.
- c. Arms Control and Disarmament Act of 1987, 22 U.S.C. Section 2593, as amended.

1 FAM 052 DEPUTY INSPECTOR GENERAL

(TL:ORG-62; 1-31-95)

a. The Deputy Inspector General reports directly to the Inspector General, and is equivalent in rank to a Deputy Assistant Secretary.

b. In the absence of the Inspector General performs his or her duties as required.

c. At the direction of the Inspector General, provides supervision, direction, and guidance to the offices within the Office of Inspector General (OIG), except the Office of Counsel (OIG/OC), which reports directly to the Inspector General.

1 FAM 053 OIG OFFICES

(TL:ORG-62; 1-31-95)

a. Office of Audits (OIG/AUD). Headed by the Assistant Inspector General and Deputy Assistant Inspector General for Audits, OIG/AUD conducts, supervises, and coordinates audits of the functions, operations, and activities of the Department and of Foreign Service posts.

b. Office of Inspections (OIG/ISP). Headed by the Assistant Inspector General and Deputy Assistant Inspector General for Inspections, OIG/ISP conducts regular inspections of Foreign Service posts and the bureaus, operating units, programs, and functions of the Department. OIG/ISP also conducts special inspections and followup reviews.

c. Office of Investigations (OIG/INV). Headed by the Assistant Inspector General and Deputy Assistant Inspector General for Investigations, OIG/INV receives complaints, information, and allegations relating to violations of law, rules, or regulations; mismanagement, gross waste of funds, or abuse of authority; or substantial and specific dangers to the public health and safety. OIG/INV conducts criminal, civil, and administrative investigations of Department of State employees, contractors, grantees, and members of the Foreign Service.

d. Office of Security Oversight (OIG/OSO). Headed by the Assistant Inspector General and Deputy Assistant Inspector General for Security Oversight, OIG/OSO conducts, supervises, and coordinates security audits of the functions, operations, and activities of the Department and of Foreign Service posts. OIG/OSO conducts regular security inspections of Foreign Service posts and the bureaus, operating units, programs, and functions of the Department. OIG/OSO also conducts special security reviews and followup reviews.

e. Office of Policy, Planning, and Management (OIG/PPM). Headed by the Assistant Inspector General for Policy, Planning, and Management, OIG/PPM is comprised of two divisions:

(1) The Executive Division (OIG/PPM/EX) is responsible for planning and directing all budget and financial activities, contract/procurement administration, human resource development and utilization, information systems management, administrative services, and other substantive activities necessary to support the administration of OIG's programs.

(2) The Plans, Reports, and Analysis Division (OIG/PPM/PRA) publishes and distributes the OIG Semiannual Report to Congress, the annual OIG work plan, and OIG audit and inspection reports and other reviews; tracks resolution and implementation of OIG recommendations; performs systemic analyses of OIG recommendations to determine patterns, trends, and problem areas in the Department that need urgent attention; and conducts special studies and projects to support the Inspector General's role on the President's Council on Integrity and Efficiency, the Management Control Steering Committee, and other OIG-wide initiatives.

f. Special Operations Review Team (OIG/SORT). Headed by the Director of the Special Operations Review Team, OIG/SORT is responsible for identifying management problems early enough either to resolve them completely and thus preclude further difficulties, or to alleviate their severity and thus enable bureau and/or post management to deal with them more effectively. These are generally significant matters that warrant early OIG action and are not included in the audit plan, inspection schedule, or other regularly programmed activity.

g. Office of Counsel (OIG/OC). Headed by the Counsel and Deputy Counsel, OIG/OC provides legal services to the Inspector General, the Deputy Inspector General, and other OIG offices and serves as liaison with the Legal Adviser of the Department and, on OIG matters, with the Department of Justice.

1 FAM 054 THROUGH 059 UNASSIGNED

1 FAM 051 Exhibit 051.3

OFFICE OF INSPECTOR GENERAL (OIG)

